

PHA 5-Year and Annual Plan	US Department of Housing & Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011
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1.0 PHA Information

PHA Name: Housing Authority of the County of Butte PHA Code: CA043
 PHA Type: ☐ Small ☒ High Performing ☐ Standard ☐ HCV (Section 8)
 PHA Fiscal Year Beginning: (MM/YYYY): 10/2014

2.0 Inventory (based on ACC units at time of FY beginning in 1.0 above)Number of PH units: 345Number of HCV units: 2,117**3.0 Submission Type**

☐ 5-Year and Annual Plan ☒ Annual Plan Only ☐ 5-Year Plan Only

4.0 PHA Consortia

☐ PHA Consortia: (Check box if submitting a joint Plan and complete table below.)

Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program	
				PH	HCV
PHA 1:					
PHA 2:					
PHA 3:					

5.0 5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.

5.1 Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:

The mission of the Housing Authority of the County of Butte (HACB) is to assist low and moderate-income residents to secure and maintain high quality affordable housing.

- 5.2 Goals and Objectives.** Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.

HACB Goal: Expand the supply of assisted housing.

- Apply for additional rental vouchers:
 - Seek additional fair share vouchers.
 - Seek additional vouchers in support of special needs populations, i.e., elderly, disabled, veterans, de-institutionalization, family unification, and foster youth.
- Leverage private or other public funds to create additional housing opportunities.
- Acquire or build units or developments:
 - By means of LIHTC's, tax-exempt bonds and other affordable housing finance programs.
 - Seek partnerships and joint developments, as appropriate.

HACB Progress

- HACB has been working diligently in conjunction with the Veterans department to lease the additional twenty-five (25) HUD-VASH Vouchers received from HUD.
- HACB acquired PG&E's Limited Partnership interest in the Chico Commons LP, a California limited partnership, owner of Chico Commons Apartments, Chico.
- HACB purchased WNC's Limited Partnership interest in the Walker Commons LP, a California limited partnership, owner of Walker Commons Apartments, Chico.
- In January 2014, HACB and BCAHDC completed the construction of Harvest Park Apartments, a 90-unit multi-family LIHTC housing project in Chico. BCAHDC is the Managing General Partner. The project is fully leased as of April 29, 2014. BCAHDC has applied for a Welfare Tax Exemption from the State and County to secure property tax exemption for Harvest Park Apartments.
- To preserve the affordable housing provided by Gridley Springs Apartments I and II, a 56-unit affordable housing project located in Gridley, HACB purchased Gridley Springs II outright, and BCAHDC became Managing General Partner for DHI-DFA Gridley Springs Associates Limited Partnership, owner of Gridley Springs I, as of July 2013.
- HACB received \$6,000,000 in USDA-RD loan and grant funds and \$2,500,000 State of California Joe Serna grant funds for the Gridley Farm Labor Rehab project. The new well is up and running as of March 2014. The contract for water and sewer utility improvements has been bid. Rehab of the 86 units is undergoing plan review with the County and should be out to bid by summer 2014.

HACB Previous Achievements

2013-14

- HACB has been working diligently in conjunction with the Veterans department to lease the additional twenty-five (25) HUD-VASH Vouchers received in March 2012.
- HACB purchased the Limited Partnership interest in Chico Commons LP, a California limited partnership from Aegon.
- HACB has been Property Manager at Chico Commons Apartments, a LIHTC property for one year, decreasing the vacancy rate and improving operations.
- HACB has offered to purchase WNC's Limited Partnership interest in Walker Commons LP.

- HACB and BCAHDC finalized the Memorandum of Understanding with Central California Housing Corporation for the development of Harvest Park Apartments, a 90-unit low income multi-family LIHTC housing project in Chico. BCAHDC is the Managing General Partner. Construction began on the project in February 2012. BCAHDC has applied for a Welfare Tax Exemption from the State and County to secure property tax exemption for Harvest Park Apartments.
- HACB and BCAHDC are working to preserve Gridley Springs Apartments I and II, a 56-unit affordable housing project. HACB is purchasing Gridley Springs II and BCAHDC is entering into a limited partnership for Gridley Springs I.
- HACB scheduled to receive \$6,000,000 in USDA-RD loan and grant funds for the Gridley Farm Labor Rehab project. Well drilling has been completed. HACB is working on the well-head design. The architectural and engineering plans are scheduled to go to USDA-RD for approval.

2012-13

- In April 2012, HACB opened the Section 8 Housing Choice Voucher Wait List for 30 days.
- In March 2012, HACB was awarded twenty-five (25) HUD-VASH Vouchers in support of homeless veterans, to add to the thirty-five (35) HUD-VASH Vouchers already being administered.
- Effective January 2012, HACB took over the Property Management of Chico Commons Apartments, a LIHTC property.
- In January 2012, the Board approved expenditure of approximately \$300,000 of Section 8 Reserve Funds and in December 2011, the Board approved the use of approximately \$300,000 in 2011 Extraordinary Admin Fee funding for Housing Assistance Payments for the 2012 operating year.
- In January 2012, HACB entered into a Memorandum of Understanding with Glenn County Human Resource Agency for Glenn County Section 8 HCV administrative services.
- In September 2011, HACB accepted eighty-seven (87) State-divested Section 8 Housing Choice Vouchers for Glenn County.
- In July 2011, HACB was awarded thirty-nine (39) enhanced preservation vouchers from Trans Pacific Gardens II Apartments, Chico.
- In July 2011, HACB and BCAHDC entered into a Memorandum of Understanding with Central California Housing Corporation for the development of Harvest Park Apartments, a 90-unit low income multi-family LIHTC housing project in Chico.
- In April 2012, HACB will receive \$6,000,000 in USDA-RD loan and grant funds for the Gridley Farm Labor Rehab project.
- In January 2012, HACB awarded the FLH Rehab contract for the drilling of the well.
- The Joe Serna Jr. Farmworker Housing Grant was reinstated by the State of California and HACB was awarded \$2,500,000 for the Gridley Farm Labor Housing Rehabilitation Project. The FLH Rehab project is underway. The architectural and engineering plans are expected to go to USDA-RD for approval in April 2012.
- BCAHDC Board, a non-profit instrumentality of HACB, agreed to consider entering into a proposed partnership with Tom DiGiovoni to develop a 92-unit low income senior LIHTC project at Meriam Park Subdivision in Chico. HACB will utilize financial and legal consultants to represent its interests in ensuring proper structuring, compensation, and codification of partnership terms.

2011-12

- In April 2010, HACB applied for RANE vouchers in support of special needs populations. HACB was not selected to receive funding for the FY 2009 Rental Assistance for Non-Elderly Persons with Disabilities Program (Category One).
- In August 2010, HACB applied for \$2,500,000 from Joe Serna Jr. Farmworker Housing Grant Program for Gridley Farm Labor Housing Rehabilitation Project. HACB was awarded grant funds; however grant cancelled because of State budget crisis.
- On March 31, 2011, the BCAHDC Board, a non-profit entity of HACB, agreed to consider entering into a proposed partnership with AHDC, Inc. to develop Harvest Park, a 90-unit low income multi-family housing project on the south side of East Avenue, Chico (west of Orchard Supply). HACB will utilize financial and legal consultants to represent its interests in ensuring proper structuring, compensation, and codification of partnership terms.

HACB Goal: Improve the quality of assisted housing.

- Improve public housing management:
 - Implementation of energy conservation measures (ECM's & ESCo's).
 - Implementation of water conservation measures.
- Improve voucher management:
 - Continue to refine administrative processes.
- Increase customer satisfaction.
- Concentrate on efforts to improve specific management functions (e.g., public housing finance; voucher unit inspections).
- Renovate or modernize public housing units:
 - Capital fund improvement projects (for complete list of scheduled improvements over next five (5) years see attached HUD-50075.1 and HUD-50075.2).
 - Construct community buildings (43-1 and 43-4).
 - Improve Willow Street, Chico maintenance shop and storage facilities.

Needed Capital Fund Improvements

- HACB has the following Capital Fund improvement projects planned in Public Housing for the next fiscal year:
 - Select window and wall replacement due to moisture penetration (43-10, Oroville)
 - Window replacement (43-13, Chico)
 - Window replacement (43-14, Oroville)
 - ADA path of travel (43-3 & 43-13, Chico)
 - Water heater replacement in cinder-block units (43-1, 43-2, 43-3 & 43-4 Biggs, Chico and Gridley)
 - VCT tile replacement upon turnover (all projects)

HACB Progress

- HACB completed the following Capital Fund improvement projects in Public Housing: repair and replacement of driveways, sidewalks and landscaping to achieve site accessibility and landscape conservation (Gridley 43-01 and 43-04); asbestos containing floor tile (ACT) replaced with vinyl composition tile (scattered - units are completed as they become vacant, subject to funding availability); and perimeter fencing (security fence) for Winston Gardens, Oroville (43-10).

- ADA improvements on the administration building located at 2039 Forest Ave, Chico have been identified and are scheduled for completion during 2014.
- HACB completed an investment-grade energy audit for its Public Housing, in anticipation of an energy-performance contract, financing the construction of energy conservation measures using the energy savings derived from the improvements.
- Walker Commons Apartments' pedestrian lighting improvement project is complete. Plans for a complete landscape renovation are in progress. Also ADA path of travel and siding replacements are scheduled for later this year.
- Planned capital fund improvement projects for Public Housing for 2014 are ADA path of travel upgrades in Chico (Projects 43-3 and 43-13), window replacement and remediation at Winston Gardens, Oroville (43-10), and complete window replacement at 43-13 (Chico) and 43-14 (Oroville).
- Siding replacement is scheduled for Chico Commons Apartments and Gridley Springs II Apartments later this year.

HACB Previous Achievements

2013-14

- Completed site parking, storm drain and accessibility improvements at Locust Street Apartments, Chico (family project).
- HACB completed Public Housing master landscape plan, associated pilot project and plans for \$1 million water conservation and landscape improvement project in Gridley.
- HACB completed the following Capital Fund improvement projects in Public Housing: repair and replace driveways and sidewalks (all sites, as needed), VCT tile replacement (all - units are scheduled as they become vacant and funding is available), exterior painting and gutter replacement (Project 43-13, 43-14 and 43-15).
- HACB hired an architect and selected a contractor to complete ADA improvements on 19 Rhodes Terrace, Chico.
- HACB procured an Energy Services Contractor for purposes of Public Housing energy conservation measures.

2012-13

- In March 2012, HACB awarded the contract for parking lot and storm drain replacement at Locust Street Apartments, Chico (family project). Community Action Agency installed new windows and exterior doors and weatherized the twelve (12) units.
- In October 2011, HACB Board approved a pilot project for water conservation for the Public Housing duplex on E. 12th Street, Chico.
- In July 2011, HACB approved landscape designs for water conservation measures in Public Housing.
- In June 2011, HACB entered into a Memorandum of Understanding with Chico Rancheria Housing Corporation (CRHC) in order to provide a Service Coordinator (ROSS Grant) on behalf of its Native American clients living in Public Housing.
- In order to streamline and improve maintenance operations, HACB upgraded the Maintenance III position to a Maintenance Supervisor. Three (3) Maintenance I and II positions are also being held vacant while portions of the maintenance work are being contracted out. After an evaluation period, the HACB will decide whether to continue contracting or rehire.

- HACB acquired another metal storage facility installed at Willow Street to increase file storage capacity.
- HACB re-painted the exterior of Park Place Apartments, Oroville (senior project). Community Action Agency provided new windows and doors and weatherization to all property units.
- HACB replaced all windows with broken seals at Walker Commons Apartments, Chico (senior and disabled project).
- HACB completed the following Capital Fund improvement projects in Public Housing: rebuild exterior storage sheds, bathroom remodel, water heater replacement and HVAC replacement (Project 43-15, scattered sites, Oroville).
- HACB Board approved a contract extension to continue the process in identifying energy conservation measures in Public Housing units and negotiate a contract with an ESCo.
- HACB received HUD approval for consolidation of Public Housing into one Asset Management Property.
- Community Action Agency replaced all windows and doors and weatherized all units at the Alamont Apartments, Chico.
- Community Action Agency provided weatherization and evaporative coolers to the USDA-RD subsidized Gridley Farm Labor Housing property.

2011-12

- On January 30, 2011, HACB received "2010 Public Housing Program of the Year Award" from HUD.
- In March 2011, HACB applied to HUD for consolidation of Public Housing into one Asset Management Property.
- HACB entered into a contract with Landscape Images for water conservation measures in Public Housing. In compliance with AB 1881, Landscape Images has provided landscape improvement options with differing levels of maintenance, functionality and aesthetics. All options will include metering of individual units.
- HACB entered into contract with a consultant to assist us in identifying energy conservation measures in Public Housing units and negotiate a contract with an ESCo.
- In order to streamline operations and provide higher quality customer service to clients HACB added a Receptionist/Staff Support position in the Section 8 department; deleted the Public Housing Manager position; and changed one Maintenance III to a Maintenance II.
- In March 2011, HACB hired an industry consultant to assist the HACB in completely rewriting the Section 8 Administrative Plan and the Public Housing Admissions and Continued Occupancy Policy.
- HACB is retaining an industry consultant in August 2011 to assess Section 8 and Public Housing procedures.
- HACB has entered into a contract with CSU, Chico to prepare a report on the economic impact of the HACB on Butte County, CA.
- HACB completed the following Capital Fund improvement projects in Public Housing: asbestos removal and tile setting (43-10 Winston Gardens, Oroville), replace water heaters (43-10 Winston Gardens, Oroville), environmental site review (all projects), landscape architecture (all projects), tub/bathroom remodel (43-15 scattered sites, Oroville), and shed remodel (43-15 scattered sites, Oroville).

HACB Goal: Increase assisted housing choices.

- Conduct outreach efforts to potential voucher landlords.
- Work to increase County's transitional housing capacity, to more effectively bridge between homelessness and permanent housing.
- Identify developing affordable housing opportunity throughout the County and work to establish partnerships and working relationships with public, non-profit, and for-profit developers in conception, development and implementation of affordable housing units and programs.

HACB Progress

- HACB is continuing to outreach to landlords. Staff consistently makes phone calls and checks Craig's List for vacant units.
- HACB staff participates in Program outreach through association with North Valley Property Owners Association.
- HACB supports the Butte Countywide Continuum of Care (CoC) by providing a part-time employee to head up coordination and administration efforts. HACB is in the process of hiring a replacement CoC Coordinator. HACB is also continuing to work with other social service agencies to more effectively bridge between homelessness and permanent housing.
- HACB secured a Sierra Health Foundation Grant in order to secure a consultant to author a 10-year strategy to end homelessness in Butte County. The 10-year strategy is complete and out for 30 day public comment.
- HACB continues to participate in the Greater Chico Area Homeless Task Force, sits on the Butte County Continuum of Care Council, attends the City of Chico Housing Trust Fund Committee, and provides contract services and administration to the City of Chico and the County of Butte Behavioral Health Department for operation of custom tenant-based local affordable housing programs.
- HACB participates on the City of Chico Mayor's Task Force on Community Services, to address homeless services in downtown Chico.
- Executive Director is Vice President for two (2) years, serving the Pacific Southwest Region Council of the National Association of Housing and Redevelopment Officials (PSWRC-NAHRO); networking and legislative opportunities and advancement of housing initiatives.

HACB Previous Achievements**2013-14**

- Participate in Program outreach through North Valley Property Owners Association.
- HACB is continuing to support the Butte County Continuum of Care by providing a part-time employee to head up coordination and administration efforts. HACB is also continuing to work with other social service agencies to more effectively bridge between homelessness and permanent housing.
- HACB secured a Sierra Health Foundation Grant in order to secure a consultant to author a 10-year plan to end homelessness in Butte County.
- HACB continues to participate in the Greater Chico Area Homeless Task Force, sits on the City of Chico Housing Trust Fund Committee, and contracts services and administration to the City of Chico and the County of Butte Behavioral Health Department for operation of select local affordable housing programs.
- Member of formation committee for City of Chico Housing Trust Fund.

2012-13

- On April 18, 2012, HACB had a booth in the Project Homeless Connect a one-day event that offers a range of services to all who are homeless or at risk of becoming homeless.

HACB Goal: Provide an improved living environment.

- Designate developments or buildings for particular resident groups (elderly, persons with disabilities).
- Work to bring added value to assisted housing by means of building of supportive services capacity through partnerships with local service providers.
- Implement service-enriched rental housing capacity for special needs populations (i.e., re-purpose Kathy Court Apartments and/or Locust Street Apartments).
- Continue to work with City and County agencies to provide rental assistance and administrative services in support of community-based rental assistance programs (Esplanade House, BHAP, Samaritan Bonus, TBRA-Chico, TBRA-Oro, Search South, etc.).
- Implement broad range of income, work with TANF and others to provide job training and opportunities for economic self-sufficiency, continue with Capital Fund program in public housing to improve quality of life.
- Provide comment to local jurisdictions regarding affordable housing development proposals and community development and planning policies.

HACB Progress

- The two (2) Shelter Plus Care - Esplanade House grants were combined into one grant, to serve thirteen (13) families.
- The Shelter Plus Care grants are renewed annually.
- Annually, HACB renews contracts with the City of Chico for the Tenant Based Rental Assistance (TBRA) and Lease Guarantee (LeGuP) programs; and with the County of Butte for the Behavioral Health Housing Assistance Payment Program (BHHAP), permanent and supportive housing grants.
- HACB is working on completing an application to designate 43-10 Winston Gardens, Oroville CA as senior only.
- HACB sponsors the non-profit Mi C.A.S.A. Education, Inc., in provision of an after-school homework program at its Gridley Farm Labor housing property in Gridley.
- The HACB has partnered with GRUB to create gardening spots at its multi-family properties, to generate constructive tenant activity and promote local food.

HACB Previous Achievements**2013-14**

- Per HACB request, HUD approved the extension of the Shelter Plus Care grant.
- Annually, HACB renews contracts with the City of Chico for the Tenant Based Rental Assistance (TBRA) and Lease Guarantee (LeGuP) programs and with the County of Butte for the Behavioral Health Housing Assistance Payment Program (BHHAP).
- HACB is working on completing an application to designate 43-10 Winston Gardens, Oroville CA as senior only.

2012-13

- Per HACB request, HUD approved the consolidation of the two Shelter Plus Care – Esplanade House grants (10 and 3 units) into one grant (13 units).
- The HACB has entered into a number of service agreements with providers to provide specialty services to the residents of the Gridley Farm Labor Housing property.

2011-12

- HACB assisted in the creation of the “Lifelines” program. Lifelines offer financial assistance to qualifying low-income families in Butte County for education, transportation and self-sufficiency needs.
- HACB is administering the Lease Guarantee Program on behalf of the City of Chico. Lease Guarantee Program assists households that face barriers in qualifying for housing. The program provides a letter of credit to landlords that can be drawn upon if necessary for apartment repairs or non-payment of rent.
- HACB is continuing to work with County agencies to provide rental assistance and administrative services in support of community-based rental assistance programs. Two new County Behavioral Health programs administered by the HACB are Search II Permanent Housing (5 units) and Search II Supportive Housing (3 units).
- HACB management staff has provided recommendations to the County on re-zoning Butte County maps.

HACB Goal: Promote self-sufficiency and asset development for families and individuals

- Increase the number and percentage of employed persons in assisted households:
 - Implementation and management of Public Housing Section 3 program.
- Provide or attract supportive services to improve assistance recipients’ employability:
 - Administer FSS and TBRA programs.
 - Utilize resident support training programs: Community Action Agency Asset Development program, USDA Nutrition and Money Management classes, and CHIP Credit Repair and Counseling (CCRC), etc.
- Provide or attract supportive services to increase independence for the elderly or families with disabilities:
 - IRS-VITA tax assistance program.
 - CSUC nursing program.

HACB Progress

- HACB administers fifty (50) units under the HUD Family Self Sufficiency (FSS) program on behalf of its Section 8 HCV participants. The HACB’s FSS program is voluntary.
- HACB administers in partnership with the Rancheria Housing Corporation the HUD Resident Opportunity and Self Sufficiency (ROSS) program, on behalf of its Native Americans living in Public Housing.
- Section 8 Housing Manager is a member of the Tenant Based Rental Assistance (TBRA) committee, responsible for assignment of rental assistance under the City of Chico’s Tenant-based Rental Assistance (TBRA) program. Section 8 Manager also serves on the Butte County Coordinating Council (BCC) committee, coordinating delivery of homeless services to the disabled in Butte County.
- Member of formation committee of Butte County 211 Services Referral System; system has been activated.

- HACB has been continuously working with Experience Works to train seniors for re-entry into workforce, in both the clerical and maintenance departments.
- HACB has made its routine outreach to its clients on behalf of Section 3 opportunity.
- HACB worked with Butte College for intern placement (spring semesters 2013 and 2014).

HACB Previous Achievements

2013-14

- Section 8 Housing Manager is a member of the TBRA committee.
- Member of formation committee of Butte County 211 Services Referral System.
- HACB has been continuously working with Experience Works to train seniors for re-entry into workforce in both the clerical and maintenance departments.
- HACB has made its routine outreach to its clients on behalf of Section 8 opportunity.

2011-12

- HACB has implemented and manages a Public Housing Section 3 program.
- HACB is continually working in collaboration with a wide variety of agencies to provide or attract supportive services to improvement recipients' employability, specifically One Stop and privately owned businesses.
- In 2010 (calendar year) the CCRC and Asset Building Coalition of Butte County (ABC) provided nutritional education through the University of California Cooperative Extension Program; 50 participants took part of this program.
- In 2011 (calendar year), the CCRC and ABC are providing financial literacy training programs.
- HACB has renewed its contract with CSUC nursing program for service to elderly participants.

HACB Goal: Ensure equal opportunity and affirmatively further fair housing.

- Undertake affirmative measures to ensure access to assisted housing regardless of age, race, ethnicity, ancestry, color, religion, national origin, sex, familial status, marital status, disability, medical condition, source of income, sexual orientation and veteran status:
 - Continue staff education through participation in North Valley Properties Owners Association, and Legal Services of Northern California and other Fair Housing Workshops.
 - Seek and implement scheduled training of agency staff in Fair Housing, Civil Rights, Reasonable Accommodation, Reasonable Modification and affirmative outreach.
 - Subscribe to and circulate amongst staff periodicals and industry information regarding Fair Housing and Equal Opportunity issues.
- Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion, national origin, sex, familial status and disability:
 - Continue participation in North Valley Properties Owners Association, Legal Services of Northern California Fair Housing Workshops.
- Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
 - Continue to maintain list of accessible units available in Butte County.
 - Purchase of temporary ramp for Public Housing.

- Seek opportunity to convert additional units of Public Housing to be accessible by the physically disabled.
- Build agency web-site that will be user-friendly to inquiries, program applicants and participants, including the elderly, disabled, and those with language barriers.

HACB Progress

- HACB provides ongoing training to agency staff in Fair Housing and Reasonable Accommodation.
- Conducts annual Fair Marketing Analysis assessment of Public Housing, Section 8 and Walker Commons Apartments.
- HACB is continuing to improve website and provide more information regarding HACB's various properties.
- HACB has assessed the physical accessibility needs of its properties and is advancing accessibility improvements systematically to address deficiencies identified.
- The result of an HUD FHEO audit, HACB has entered into a Voluntary Compliance Agreement (VCA) and is implementing both physical improvements and program compliance documentation in accordance with the VCA.

HACB Previous Achievements

2013-14

- HACB provides ongoing training to agency staff in Fair Housing and Reasonable Accommodation.
- Conducts annual Fair Marketing Analysis assessment of Public Housing, Section 8 and Walker Commons Apartments.
- HACB is continuing to improve website and provide more information regarding HACB's various properties.

2012-13

- In November 2011, HACB entered into a Memorandum of Understanding with Independent Living Services of Northern California (ILSNC) for housing advocacy and housing search on behalf of the disabled.
- HACB adopted new logo and stationary.

2011-12

- HUD Office of Fair Housing and Equal Opportunity (FHEO) inspected the HACB in March 2010. The HACB has entered into a Voluntary Compliance agreement with FHEO and is working to complete goals identified therein. The final VCA finding and concerns are due to FHEO on April 15, 2011 and the final ADA transition plan is due September 30, 2011.
 - HACB has incorporated Section 504 Coordinator duties into Housing Director Job Description.
 - HACB hired a team to conduct a review of policies, procedures and practices and an accessibility review of HACB sites to comply with the Fair Housing Act, HUD Standards, the Americans with Disabilities Act and California Building Code. Disability Access Consultants completed an inspection of HACB properties for accessibility requirements, development of a barrier removal/transition plan with findings and recommendations. Jamie Kinney Consulting reviewed policies,

procedures and practices and made recommendations for compliance. Monighan and design reviewed cost recommendations.

- To assist clients in unit selection under the Section 8 program:
 - HACB has created a list identifying Butte County landlords and projects with ADA-accessible units available for Section 8 voucher holders.
 - HACB entered into a contract with CSU, Chico to create de-concentration maps.
 - HACB has added to its website links to services, public transportation route maps and school district maps.
- HACB added language options and disaster preparedness links to the website.
- HACB utilized the services of sign language translator, as needed.

In addition, the Housing Authority of the County of Butte has developed the following Strategic Goals:

1. **Streamline and/or conduct operations analysis for maintaining good customer service.**
 - a. Periodic and systematic review of operations to keep up with needs.
 - b. Implement regulatory changes as they become effective.
2. **Implement and maintain quality affordable housing.**
 - a. Maintain program integrity in Public Housing and Section 8.
 - b. Develop self-sufficiency opportunities for participants.
 - c. Seek opportunities for acquisition of non-HUD properties.
 - d. Process incremental and preservation Voucher allocations.
 - e. Develop resident groups for strong neighborhoods.
 - Winston Gardens Resident Group
 - Resident Advisory Board – Section 8 Admin Plan & Public Housing ACOP reviews.
3. **Improve and maintain interagency cooperation.**
 - a. Continue to work with agencies to provide services.
 - b. Seek to strengthen links between HACB and County of Butte.
 - c. Look at opportunities to increase participation between agencies for self-sufficiency activities, including child care and youth activities.
 - d. Continue to work with Community Action Agency on the Shelter Plus Care program providing voucher assistance and case management to homeless families.
 - e. Maintain and continue contract administration for Butte Countywide Homeless Continuum of Care program.
 - f. Maintain and continue development of HUD-VASH program.
 - g. TBRA-Chico program development.
 - h. Data reporting to municipal and county agencies.
4. **Maintain 98% occupancy rate in public housing and 96% lease-up of Section 8.**

6.0 PHA Plan Update

- (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:

The following elements have been changed since the last Annual Plan submission:

The Section 8 Administrative Plan in its entirety is attached as Exhibit K and the Public Housing Admissions and Continued Occupancy Policy in its entirety is attached as Exhibit L.

Violence Against Women Act (VAWA) Protections

Descriptions of activities, services and/or programs offered by the HACB, either directly or in partnership with other service providers, that help child and adult victims of domestic violence, dating violence, sexual assault, or stalking obtain or maintain housing, prevent violence, and/or enhance victim safety are covered in the Section 8 Administrative Plan and the Public Housing Admissions and Continued Occupancy Policy (attached as Exhibits K and L). HACB procedures which are in place that assure that tenants are notified of their rights under VAWA are also included in attached documents.

- (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.

- Housing Authority of the County of Butte, 2039 Forest Ave, Chico CA 95928
- Housing Authority of the County of Butte, 850 E. Gridley Rd, Gridley CA 95948
- www.butte-housing.com

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. *Include statements related to these programs as applicable.*

HOPE VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs and Project-based Vouchers – N/A

8.0 Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.

- 8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report.** As part of the PHA 5-Year and Annual Plan, annually complete and submit the *Capital Fund Program Annual Statement/Performance and Evaluation Report*, form HUD-50075.1, for each current and open CFP grant and CFFP financing.

See attached Exhibit H for HUD 50075.1 Capital Fund Program Annual Statement/Performance and Evaluation Report for: 501-10, 501-11 and 501-12.

- 8.2 Capital Fund Program Five-Year Action Plan.** As part of the submission of the Annual Plan, PHAs must complete and submit the *Capital Fund Program Five-Year Action Plan*, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.

See attached Exhibit I for HUD-50075.2 Capital Fund Program Five-Year Action Plan approved by HUD on 05/13/2014.

8.3 Capital Fund Financing Program (CFFP).

☐ Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.

9.0 Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.

- 9.1 Strategy for Addressing Housing Needs.** Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.**

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing Site-Based waiting list: Chico			
<input type="checkbox"/> Combined Section 8 and Public Housing			
	# of families	% of total families	Annual Turnover
Waiting list total	459		39
Extremely low income <=30% AMI	295	64%	
Very low income (>30% but <=50% AMI)	121	26%	
Low income (>50% but <80% AMI)	38	8%	
Families with children	370	81%	
Elderly families	29	6%	
Families with Disabilities	147	32%	
Hispanic	103	22%	
White	318	69%	
Black / African American	57	12%	
American Indian	32	7%	
Asian	36	8%	
Pacific Islander	4	1%	
Other	28	6%	
PH Bedroom Size Characteristics			
1BR	37	8%	
2 BR	295	64%	
3 BR	100	22%	
4 BR	27	6%	
5 BR	0	0%	
5+ BR	0	0%	
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes Chico 1-BR only			
If yes: How long has it been closed? The Chico 1-BR waiting list has been closed since June 15, 2009.			
Does the PHA expect to reopen the list in the PHA Plan year? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing Site-Based waiting list: Oroville			
<input type="checkbox"/> Combined Section 8 and Public Housing			
	# of families	% of total families	Annual Turnover
Waiting list total	274		45
Extremely low income <=30% AMI	181	66%	
Very low income (>30% but <=50% AMI)	80	29%	
Low income (>50% but <80% AMI)	9	3%	
Families with children	52	19%	
Elderly families	89	32%	
Families with Disabilities	202	74%	
Hispanic	31	11%	
White	214	78%	
Black / African American	18	7%	
American Indian	16	6%	
Asian	22	8%	
Pacific Islander	5	2%	
Other	6	2%	
PH Bedroom Size Characteristics			
1BR	240	88%	
2 BR	0	0%	
3 BR	33	12%	
4 BR	1	0%	
5 BR	0	0%	
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes: How long has it been closed?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input checked="" type="checkbox"/> Public Housing Site-Based waiting list: Gridley/Biggs			
<input type="checkbox"/> Combined Section 8 and Public Housing			
	# of families	% of total families	Annual Turnover
Waiting list total	334		10
Extremely low income <=30% AMI	237	71%	
Very low income (>30% but <=50% AMI)	82	25%	
Low income (>50% but <80% AMI)	12	4%	
Families with children	158	47%	
Elderly families	41	12%	
Families with Disabilities	152	46%	
Hispanic	92	28%	
White	253	76%	
Black / African American	33	10%	
American Indian	26	8%	
Asian	11	3%	
Pacific Islander	2	1%	
Other	18	5%	
PH Bedroom Size Characteristics			
1BR	160	48%	
2 BR	118	35%	
3 BR	42	13%	
4 BR	13	4%	
5 BR	1	0%	
Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes: How long has it been closed?			
Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes			

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input checked="" type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input type="checkbox"/> Combined Section 8 and Public Housing			
	# of families	% of total families	Annual Turnover
Waiting list total	1,462		229
Extremely low income <=30% AMI	789	54%	
Very low income (>30% but <=50% AMI)	493	34%	
Low income (>50% but <80% AMI)	146	10%	
Families with children	787	54%	
Elderly families	167	11%	
Families with Disabilities	717	49%	
Hispanic	213	15%	
White	1,077	74%	
Black /African American	234	16%	
American Indian	88	6%	
Asian	109	7%	
Pacific Islander	45	3%	
Other	7	0%	
Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes			
If yes: How long has it been closed? Section 8 Wait List has been closed since April 30, 2012.			
Does the PHA expect to reopen the list in the PHA Plan year? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			

Strategy for Addressing Needs

➤ Need: Shortage of affordable housing for all eligible populations

Strategy 1: Maximize the number of affordable units available to the PHA within its current resources by:

- Employ effective maintenance and management policies to minimize the number of public housing units off-line
- Reduce turnover time for vacated public housing units
- Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- Participate in the Consolidated Plan development process to ensure coordination with broader community strategies

Strategy 2: Increase the number of affordable housing units by:

- Pursue housing resources other than public housing or Section 8 tenant-based assistance.

- Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

- Adopt rent policies to support and encourage work

- Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

- Adopt rent policies to support and encourage work

- Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

- Apply for special-purpose vouchers targeted to the elderly, should they become available

- Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

- Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- Affirmatively market to local non-profit agencies that assist families with disabilities

- Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

- Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- Participate on Hmong Task Force

Strategy 2: Conduct activities to affirmatively further fair housing

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations

Reasons for Selecting Strategies

The factors that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance

- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups

10.0 Additional Information. Describe the following, as well as any additional information HUD has requested.

- (a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.

The Housing Authority of the County of Butte is making measurable progress in meeting the mission and goals as outlined in the 5-year plan (see Section 5.2)

- (b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"

Substantial Deviation from the 5-year Plan:

A "Substantial Deviation" from the 5-Year Plan is an overall change in the direction of the Authority pertaining to the Authority's Goals and Objectives. This includes changing the Authority's Goals and Objectives.

Significant Amendment or Modification to the Annual Plan:

- A "Significant Amendment or Modification" to the Annual Plan is a change in a policy or policies pertaining to the operation of the Authority. This includes the following:
- Changes to rent or admissions policies or organization of the waiting list.
- Additions of non-emergency work items in excess of 10% of total Capital Fund Program budget (items not included in the current Annual Statement or 5-Year Action Plan) or change in use of replacement reserve funds under the Capital Fund.
- Any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

Flat Rent Significant Amendment

The Housing Authority of the County of Butte hereby amends its flat rent policies to comply with the statutory changes contained within, Public Law 113-76, the Fiscal Year 2014 Appropriation Act.

The Housing Authority of the County of Butte will set the flat rental amount for each public housing unit that complies with the requirement that all flat rents be set at no less than 80 percent of the applicable Fair Market Rent (FMR) adjusted, if necessary, to account for reasonable utilities costs. The new flat rental amount will apply to all new program admissions effective October 1, 2014. For current program participants that pay the flat rental amount, the

new flat rental amount will be offered as well as the income-based rental amount, at the next annual rental option.

The Housing Authority of the County of Butte will place a cap on any increase in a family's rental payment that exceeds 35 percent, and is a result of changes to the flat rental amount as follows:

- Multiply the existing flat rental payment by 1.35 and compare that to the updated flat rental amount;
- The PHA will present two options to the family as follows:
 - the lower of the product of the calculation and the updated flat rental amount;
 - the income based rent.

11.0 Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. **Note:** Faxed copies of these documents will not be accepted by the Field Office.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations* (which includes all certifications relating to Civil Rights)
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace* (PHAs receiving CFP grants only)
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions* (PHAs receiving CFP grants only)
- (d) Form SF-LLL, *Disclosure of Lobbying Activities* (PHAs receiving CFP grants only)
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* (PHAs receiving CFP grants only)
- (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.
- (g) Challenged Elements
- (h) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* (PHAs receiving CFP grants only)
- (i) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report* (PHAs receiving CFP grants only)
- (j) PHA Organization Staffing Chart
- (k) Section 8 Administrative Plan
- (l) Public Housing Admissions and Continued Occupancy Policy

EXHIBIT A

PHA Certifications of Compliance with PHA Plans and Related Regulations	U.S. Department of Housing and Urban Development Office of Public and Indian Housing OMB No. 2577-0226 Expires 4/30/2011
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**PHA Certifications of Compliance with the PHA Plans and Related Regulations:
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the 5-Year and/or ☒ Annual PHA Plan for the PHA fiscal year beginning 2014, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.

13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Housing Authority of the County of Butte


CA043

PHA Name

PHA Number/HA Code

____ 5-Year PHA Plan for Fiscal Years 20____ - 20____
 X ____ Annual PHA Plan for Fiscal Years 20¹⁴ - 20¹⁵

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Laura Moravec	Board Chair
Signature 	Date June 19, 2014

Civil Rights Certification

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011

Civil Rights Certification**Annual Certification and Board Resolution**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:


The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

Housing Authority of the County of Butte

CA043

PHA Name

PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)	
Name of Authorized Official Laura Moravec	Title Board Chair
Signature 	Date 06/19/2014

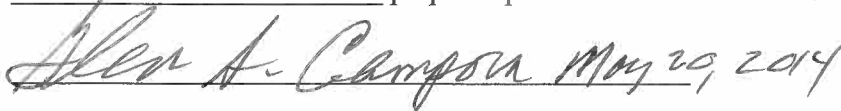
form HUD-50077-CR (1/2009)
OMB Approval No. 2577-0226

**Certification by State or Local
Official of PHA Plans Consistency
with the Consolidated Plan**

**U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011**

**Certification by State or Local Official of PHA Plans Consistency with the
Consolidated Plan**

I, Glen A. Campora the Assistant Deputy Director certify that the Five Year and
Annual PHA Plan of the Housing Authority of the County of Butte is consistent with the Consolidated Plan of
State of California prepared pursuant to 24 CFR Part 91.

 May 29, 2014

Signed / Dated by Appropriate State or Local Official

form **HUD-50077-SL** (1/2009)
OMB Approval No. 2577-0226

**Certification by State or Local
Official of PHA Plans Consistency
with the Consolidated Plan**

**U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 4/30/2011**

**Certification by State or Local Official of PHA Plans Consistency with the
Consolidated Plan**

I, Brian Nakamura the City Manager certify that the Five Year and
Annual PHA Plan of the Housing Authority of the County of Butte is consistent with the Consolidated Plan of
City of Chico prepared pursuant to 24 CFR Part 91.

 5/7/2014

Signed / Dated by Appropriate State or Local Official

form HUD-50077-SL (1/2009)
OMB Approval No. 2577-0226

EXHIBIT B

Certification for a Drug-Free Workplace

U.S. Department of Housing
and Urban Development

Applicant Name

Housing Authority of the County of Butte

Program/Activity Receiving Federal Grant Funding

PHA Annual Agency Plan and Capital Fund for FY 2014-15

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. Sites for Work Performance. The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Check here ☐ if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Edward S. Mayer

Title

Executive Director

Signature

Date

X

June 19, 2014

EXHIBIT C

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

Applicant Name

Housing Authority of the County of Butte

Program/Activity Receiving Federal Grant Funding

PHA Annual Plan and Capital Fund for FY 2014-15

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Edward S. Mayer

Title

Executive Director

Signature



Date (mm/dd/yyyy)

06/19/2014

Previous edition is obsolete

form HUD 50071 (3/98)
ref. Handbooks 7417.1, 7475.13, 7485.1, & 7485.3

EXHIBIT D

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

(See reverse for public burden disclosure.)

Approved by OMB

0348-0046

1. Type of Federal Action: <input checked="checked" type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance		2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award		3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____	
4. Name and Address of Reporting Entity: <input checked="checked" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Congressional District, if known: 4c			5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Housing Authority of the County of Butte 2039 Forest Ave Chico, CA 95928 Congressional District, if known:		
6. Federal Department/Agency: US Dept of Housing & Urban Development			7. Federal Program Name/Description: 2014 PHA Plan - Capital Fund CFDA Number, if applicable: _____		
8. Federal Action Number, if known: CA30P043501114			9. Award Amount, if known: \$ 556,734.00		
10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI):			b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):		
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.			Signature: <u>Edward S. Mayer</u> Print Name: <u>Edward S. Mayer</u> Title: <u>Executive Director</u> Telephone No.: <u>530-895-4474</u> Date: <u>06/19/2014</u>		
Federal Use Only:			Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)		

EXHIBIT E

**DISCLOSURE OF LOBBYING ACTIVITIES
CONTINUATION SHEET**

Approved by OMB
0348-0046

Reporting Entity: Housing Authority of the County of Butte Page 2 of 2

EXHIBIT F

2014
HOUSING AUTHORITY OF THE COUNTY OF BUTTE (HACB)
RESIDENT ADVISORY BOARD / CAPITAL FUND PUBLIC HEARING
COMMENTS

RESIDENT ADVISORY BOARD (RAB) / CAPITAL FUND PUBLIC HEARING
MEETING COMMENTS (April 2, 2014 meeting)

During the RAB meeting, the following recommendations and/or comments were received from Residents:

Capital Fund

- HACB presented 501-13, 501-14 and the Five-year Capital Fund Plan to the RAB. Those present were pleased with work done so far and capital improvements projects scheduled. HACB received no recommendations, comments, and/or additions. However, RAB members did express interest in ADA compliance and energy saving measures, including solar.

Public Housing

- HACB presented the proposed changes to the Public Housing Admissions and Continued Occupancy Policy. There were no substantive changes to the policy documents. RAB members had no comments, questions or concerns.

Section 8

- HACB presented the proposed changes to the Section 8 Housing Choice Voucher Administrative Plan. There were no substantive changes to the policy documents. Legal Services asked Section 8 Housing Manager DeSoto for clarification on eviction due to criminal activity and live-in aide versus family member status. Mr. DeSoto was able to adequately address concerns and/or questions posed. There were no further comments or questions from RAB members.

45-DAY REVIEW PERIOD COMMENTS

HACB received one comment during the review period, which was presented to the HACB Board of Commissioners on June 19th. Attached is a copy of the public comment from Legal Services of Northern California and the written response by HACB Staff to the Board of Commissioners. The City of Chico had a couple of minor corrections to the PHA Agency Annual Plan and HACB Staff made a couple of minor comments. All of which are outlined in the attached memorandum to the HACB Board.

RESIDENT ADVISORY BOARD (RAB) MEETING COMMENTS – PUBLIC HOUSING
FLAT RENTS (July 9, 2014)

None.


45-DAY REVIEW PERIOD COMMENTS

None.

June 12, 2014

MEMO

To: HACB Board of Commissioners

From: Tamra C. Young, Executive Assistant 

Subject: Adoption of Agency Plan, and Public Housing and Section 8 HCV Program Policies

- 2014-15 Agency Plan
- Public Housing Admissions and Continued Occupancy Policy (ACOP)
- Section 8 Administrative Plan (Admin Plan)

As a public agency administering the HUD Public Housing and Section 8 Housing Choice Voucher (HCV) programs, HACB is required to submit to HUD applicable agency and administrative plan documents 75 days prior to the end of the HACB's fiscal year (submission due July 18th). HACB has already taken the following steps:

- April 2nd – HACB conducted a Resident Advisory Board/Capital Fund Public Hearing (inviting Legal Services of Northern California and forty-seven (47) Public Housing and Section 8 participants).
- April 17th – HACB Board of Commissions adopted draft documents, and the required 45-day review Public Comment period was opened.

Annual Plan

We are recommending the adoption of the Annual Plan with minimal changes. We have incorporated recommendations received from Marie Demers, Housing Manager, City of Chico: correct the fully leased date of Harvest Park (original date was a guesstimate), make one grammatical edit, and correct the acronym from GRUBB to GRUB. The only other changes made to the Annual Plan were per HUD Capital Fund adoption requirements: adding “needed Capital Fund projects” for the upcoming year; and addition of the HUD adoption date for the Five-Year Capital Fund Plan.

PH ACOP/S8 HCV Admin Plan

Per Federal Register Notice on May 20, 2014, the list of federally mandated exclusions from income was updated in both the PH ACOP and S8 HCV Admin Plan.

Attached is the letter we received from Legal Services of Northern California during the 45-day review Public Comment period for the ACOP and AP. Management's recommendations by way of response are as follows:

I. Clarifications/corrections

- Page 2-11 Admin Plan – Word edit: change “disability” to “definition”.
- Page 3-8 Admin Plan – No recommended change to Policy language. However, it is HACB policy and practice to allow exceptions for repayment agreements for live-in aides. The policy of the HACB is to use same exception criteria for live-in aides as is used for applicants.
- Section 4-IIF Admin Plan – Policy correction: The family has 14 calendar days to respond.
- Section 4-IIID Admin Plan – No recommended change to Policy. Family is allowed hearing rights, addressed on page 4-8.
- Section 6.1.B Admin Plan – No recommended Policy change: “Caretakers for Child” eligibility criteria is the same as is used for adding any new household member(s).
- Section 7.IV.D Admin Plan – Recommend keep consultant-provided language to add an additional check in the system. If child care provider does not know source of income, they can simply reply “don’t know”.
- Page 15-4 ACOP – The recommended language was provided by consultant; the Notice to the Tenant is clear and complies with applicable laws.

II. Limited English Proficiency (LEP)

- Section III.B ACOP/Admin Plan – “The HACB discourages the use of minor children as interpreters” has been added to the Policy.
- HACB has a written LEP plan, which is updated annually.

VAWA 2013

- The recommended changes to the Violence Against Women Act (VAWA) language have been incorporated into the ACOP and Admin Plan.

III. Terminations/Overpayments

- Section 16-III.C. Admin Plan – Adding additional pre-termination notice rights for participants to address landlord allegations would create an administrative burden. Participant is already allowed informal hearing rights to address such allegations.
- Section 16-IV.B. Admin Plan – Terminations have hearing rights. Termination for failure to comply with terms of a Repayment Agreement is not an exception, therefore no action needed.

We value the recommendations we have received again this year from LSNC and the City of Chico. Their feedback is an important part of the Annual Plan process.

Recommendation: Hold Public Hearing (Open and Close). Consider any final changes, if necessary. Receive and file final documents (Agency Annual Plan, Public Housing ACOP and Section 8 Admin Plan) by adoption of Resolution Nos. 4544 and 4545).

May 30, 2014

Housing Authority of the County of Butte
Board of Commissioners
2039 Forest Avenue
Chico, CA 95928

Re: Section 8 Administrative Plan and ACOP for Fiscal Year 2014-2015

Dear Board of Commissioners:

Legal Services of Northern California submits the following comments in connection with the Housing Authority of the County of Butte's (HACB) proposed Section 8 Administrative Plan ("Admin Plan") and the Admissions and Continued Occupancy Policy ("ACOP") for Fiscal Year 2014-2015.

Legal Services of Northern California ("LSNC") provides a variety of free legal services, including advice, referral, and direct legal representation to low-income individuals, families, seniors and community groups, within Butte, Colusa, Glenn, Tehama, and Plumas counties. LSNC also provides self-help materials, legal clinics, and community legal education to various communities throughout its service area.

We are submitting comments on behalf of our low-income client community on the following topics: (1) some clarifications/corrections/concerns; (2) VAWA 2013 (3) language access and (4) informal hearings for repayment plans and termination. We submit these comments in the hope that they will facilitate a continued dialogue with HACB and assist the agency in administering its housing programs in a manner consistent with its mission of promoting adequate and affordable housing. We welcome meeting with HACB to respond to any questions or concerns that the agency may have with regard to our comments.

I. Clarifications/corrections:

- Page 2-11 of the Admin Plan: In the very last sentence on that page, should the word "disability" actually be "definition"?
- Page 3-8 of Admin Plan: The HACB policy indicates that a live in aide will not be approved if the live in aid "currently owes rent or other amounts to the HACB or other HACB in connection with Section 8 or other public housing assistance..." If that person has an overpayment agreement to repay an amount owed, is that an exception?

- 4-II.F (Admin) HACB policy: "If the notice is returned by the post office.... The family will have calendar days to respond from the date the letter was re-sent." How many days will have a family have?
- 4-III.D (Admin): "If a notification letter is returned to the HACB with no forwarding address, the family will be removed from the waiting list." Are there hearing rights once the family is removed?
- 6.I.B (Admin): the additional/new language for Caretakers for a Child under the HACB policy : what type of discretion/approval/criteria is referred to here and why has this been added?
- 7-IV.D (Admin) regarding the HACB policy on unreimbursed expenses: the addition of the parenthetical: that the child care provider must certify that the child care expenses are not paid or reimbursed to the family from any source. Will a child care provider be able to know and certify that? It puts a burden on that child care provider who may not know that information.
- 15-4 of the ACOP: the revision is unclear and could be interpreted that if someone receives a 30 day notice on the 28th of the month, the increased rent is effective four days later, on the 1st of the next month. If that is the intent, that is insufficient notice. If that is not the intent, the previous language is clearer.

II. Limited English Proficiency (LEP)

- 2-III. B of the ACOP and the Admin Plan: The HACB permits the use of interpreters chosen by the LEP person, including family members or friends. We suggest adding that the HACB strongly discourages the use of minor children as interpreters.
- LSNC strongly encourages the HACB to develop a written LEP plan, if it has not already done so. Section 2-III.D of the ACOP and the Admin Plan states that the HACB will not develop a written LEP plan if it finds that it serves few LEP persons and has limited resources. This appears to be the same language included in the 2011, 2012, and 2013 planning documents. Has such a determination in fact been made so that this section is out of date? (3-II.B of the Admin Plan references the LEP plan). Putting the plan in writing promotes consistency in providing language assistance and reminds staff of their Title VI obligations. If there is such a plan, that and other vital translated documents should be on the HACB website.

VAWA 2013

VAWA 2013 has made various changes that should be incorporated into the Admin Plan and the ACOP, including but not limited to:

- The Violence Against Women Reauthorization Act of 2013 (VAWA 2013), extends housing protections not only to victims of domestic violence, dating violence, and stalking, but also to victims of sexual assault. Therefore, the definition should be expanded in the documents when victims of domestic violence are referenced, throughout the Admin Plan and the ACOP. In the Admin Plan, this includes, but is not limited to, 3-III.A (Prohibited Reasons for Denial of Program Assistance), 3-III.D Screening (the revision should include reference to victims of sexual assault) 3-III.F (notice policies), and 3-III.G.
- VAWA 2013 expands protections relating to the prohibition of terminating assistance because of criminal activity directly related to domestic violence, sexual assault, or stalking by replacing the term “immediate family member” with “affiliated individual” as defined in VAWA 2013 and set forth in the HUD September 30, 2013 letter, and the term “and related to that person by blood and marriage” should be deleted such as in 3-III.G of the Admin Plan and ACOP 16-VII-B.
- In 3-III.G, the HACB policy about victim documentation should add that the name of the perpetrator should be named “only if the name of the perpetrator is safe to provide and know to the victim.” VAWA 2013 also allows a victim to provide a record of an administrative agency and allows documentation to be provided from a mental health professional.
- VAWA 2013 expands the protections regarding lease bifurcations by changing the language regarding violent acts and by allowing a remaining tenant the opportunity to establish eligibility for the housing program.
- Add “intimate partner” to definitions of domestic violence on p. 16-14 of the ACOP and GL-5 of the Admin Plan.
- Add the definition of sexual assault from VAWA 2013
- The definition of “stalking” should be changed to the VAWA 2013 definition “as engaging in a course of conduct directed at a specific person that would cause a reasonable person to: fear for his or her safety or others; or suffer substantial emotional distress” (42 USCA sec. 13925(a)(30)) in the ACOP 16-VII.B and in the glossary in the Admin Plan.

III. Terminations/Overpayments

- 16-III.C. (Admin Plan) We have had numerous clients who receive termination of assistance notices from the HACB based solely on a landlord’s allegations, putting the burden on the participant to prove the allegations incorrect. Prior to issuing a termination notice, the HACB should provide the participant with an opportunity to address the landlord’s allegations. If the HACB then decides to proceed with a termination notice, it still must be proven by a preponderance of the evidence that the allegations are true.

- 16-IV.B (Admin). Family Debts to the PHA: In the event a family allegedly fails to comply with the terms of the agreement or would like the opportunity to renegotiate the terms, a family should have hearing rights prior to the termination or have the right to have a hearing on renegotiating. There is reference throughout this section to compliance with the policies in Chapter 12. Since that chapter deals with terminations, we assume that hearing rights are available in a repayment situation. If not, that should be provided.

Sincerely,

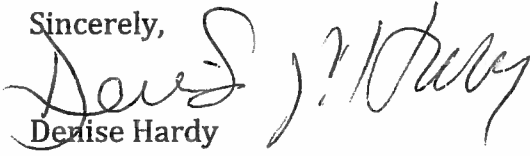

Denise Hardy
Staff Attorney

EXHIBIT G

Challenged Elements

None

EXHIBIT H

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 8/30/2011

Part I: Summary					
PHA Name/Number		Locality (City/County & State)		[] Original 5- year plan [X] Revision No: _3_	
CA043 Housing Authority of the County of Butte		Butte County, CA			
A: Development Number and Name	Work Statement for Year 1 FFY 2014	Work Statement for Year 2 FFY 2015	Work Statement for Year 3 FFY 2016	Work Statement for Year 4 FFY 2017	
	Annual Statement			Work Statement for Year 5 FFY 2018	
B. Physical Improvements Subtotal		1,785,000	1,848,000	1,316,750	1,304,500
C: Management Improvements		25,000	25,000	35,000	45,000
D: PHA-Wide Non-dwelling Structures and Equipment					
E. Administration		130,000	120,000	140,000	120,000
F. Others					
G: Operations					
H: Demolition					
I. Development					
J. Capital Fund Financing-Debt Service					
K. Total CFP Funds					
L. Total Non-CFP Funds					
M. Grand Total		1,940,000	1,993,000	1,491,750	1,469,500

Capital Fund Program Five-Year Action Plan

Part 1: Summary

Activities for Year 1	Activities for Year 2 FFY Grant: CA 30 PO 43501-15 PHA FY: 2015			Activities for Year 3 FFY Grant: CA 30 PO 43501-16 PHA FY: 2016		
	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	CA 043-1A, 1B, 4 A: Landscaping B: Upgrade HVAC systems C: Replace VCT Floors / Abatement	1 10 5	36,000 46,000 35,000	CA 043-1A, 1B, 4 A: Replace VCT Floors B: Landscaping C: Replace VCT Floors / Abatement	25 1 5	55,000 45,000 35,000
	CA 043-2A & 2B A: Landscaping B: Upgrade HVAC Systems C: Replace VCT Floors / Abatement	1 1 3	56,000 50,000 15,000	CA 043-2A & 2B A: Window Replacements B: Energy Conservation Measures C: Replace VCT Floors / Abatement	1 1 3	30,000 30,000 15,000
	CA 043-3 A: Upgrade HVAC systems B: Energy Conservation Measures C: Landscaping D: Replace VCT Floors / Abatement E: Cabinet Replacement	5 1 1 12 5	25,000 65,000 55,000 125,000 15,000	CA 043-3 A: Upgrade HVAC Systems B Landscaping C: Window Replacements D: Replace VCT Floors / Abatement E: Physical Accessibility	5 1 60 10 3	25,000 98,000 90,000 50,000 150,000
	CA 043-10 A: Landscaping / Lighting Replacement B: Energy Conservation Measures C: ADA rehab D: Cabinet Replacement E: Under Slab Water Pipe Replacement	30 1 3 25 25	55,000 25,000 100,000 75,000 125,000	CA 043-10 A: Bathroom Upgrade B: Under Slab Water Pipe Replacement C: Exterior Lighting Replacement D: Energy Conservation Measures E: Replace VCT Floors	60 20 1 1 30	85,000 75,000 10,000 30,000 90,000
	CA 043-13 A: Replacement Windows B:: Landscaping / Lighting Replacement C:: Energy Conservation Measures	135 96 1	86,000 42,000 142,000	CA 043-13 A: Exterior Painting B: Replace VCT Floors C: Cabinet Replacement	20 25 5	40,000 75,000 22,000
	Sub Total of Estimated Cost		1,173,000	Sub Total of Estimated Cost		1,050,000

Capital Fund Program Five-Year Action Plan

Part 1: Summary

Activities for Year 1	Activities for Year 4 FFY Grant: CA 30 PO 43501-17 PHA FY: 2017			Activities for Year 5 FFY Grant: CA 30 PO 43501-18 PHA FY: 2018		
	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	CA 043-1A, 1B, 4 A: New Water Heaters B: Landscaping C: Replace VCT Floors / Abatement D: ADA upgrades E: Pre Fab skid type Storage Sheds	20 2 2 2 50	18,000 90,000 12,000 30,000 50,000	CA 043-1A, 1B, 4 A: Landscaping B: Replace VCT Floors / Abatement C: Physical Accessibility D: Energy Conservation Measures	1 5 4 1	50,000 25,000 150,000 60,000
	CA 043-2A & 2B A: New Water Heaters B: Dishwashers / Garbage Disposals C: Replace VCT Floors / Abatement D: Energy Conservation Measures	20 20 5 1	13,500 12,000 25,000 60,000	CA 043-2A & 2B A: Landscaping C: Exterior Lighting Replacement D: Exterior Painting E: Replace VCT Floors / Abatement F: Pre Fab skid type Storage Sheds	1 1 20 3 20	42,500 12,000 30,000 15,000 20,000
	CA 043-3 A: Storage & H2O Room Door & Vent B: Landscaping C: Replace VCT Floors / Abatement D: Pre Fab skid type Storage Sheds E: Energy Conservation Measures	100 1 17 100 1	75,000 50,000 85,000 100,000 60,000	CA 043-3 A: HVAC Upgrade B: Exterior Lighting C: Replace VCT Floors / Abatement D: Energy Conservation Measures	12 1 5 1	56,000 79,000 25,000 60,000
	CA 043-10 A: Modify Kitchen Lighting B: Dishwashers / Garbage Disposals C: Under Slab Water Pipe Replacement	30 60 20	6,000 65,000 55,000	CA 043-10 A: Small Roof mounted P.V. B: Upgrade ADA Units C: Under Slab Water Pipe Replacement	1 6 10	75,000 120,000 25,000
	CA 043-13 A: Replace Porches and Sidewalks B: Landscape Rehab and Prune Trees C: Install Cloth Lines D: Install Ceiling Fans E: Install Screen Doors F: Upgrade ADA Units	30 30 30 30 45 5	36,000 3,000 12,000 3,750 9,500 55,000	CA 043-13 A: Install Porches and Sidewalks B: Landscape Rehab and Prune Trees C: Install Cloth lines D: Install Ceiling Fans E: Install Screen Doors F: Exterior Lighting Replacement G Exterior Painting	30 30 30 30 30 30 26	18,000 1,500 6,000 3,000 6,000 30,000 39,000
	Sub Total of Estimated Cost		925,750	Sub Total of Estimated Cost		948,000

Capital Fund Program Five-Year Action Plan

Part 1: Summary

Activities for Year 1	Activities for Year 2 FFY Grant: CA 30 PO 43501-15 PHA FY: 2015			Activities for Year 3 FFY Grant: CA 30 PO 43501-16 PHA FY: 2016		
	Development Number/Name/ Major Work Categories	Quantity	Estimated Cost	Development Number/Name/ Major Work Categories	Quantity	Estimated Cost
See Annual Statement	CA 043-14			CA 043-14		
	A: Landscaping / Site Work	1	35,000	A: Fencing Back Yards	20	15,000
	B: Exterior Painting	20	30,000	B: Energy Conservation Measures	1	45,000
	C: Landscaping /Lighting Replacement	1	15,000	C: Pre Fab skid type Storage Sheds	20	35,000
	D: Replace VCT Floors	20	70,000	D: Roof Replacement	20	85,000
	E: Cabinet Replacement	20	75,000	E: Upgrade ADA Units	5	65,000
	F: Window Replacement	25	32,000	F: Window Replacement	25	32,000
	CA 043-15			CA 043-15		
	A: Landscaping / Lighting Replacement	1	45,000	A: Landscaping / Lighting Replacement	1	85,000
	B: Re-Surface Roads (slurry Seal)	1	35,000	B: Exterior Painting	50	86,000
	C: Replace Water Heaters	50	35,000	C: Energy Conservation Measures	1	50,000
	D: Replace VCT Floors	50	75,000	D: Roof Replacement	50	50,000
	E: Cabinet Replacement	50	150,000	E: Retaining Wall Oro Dam	1	250,000
	F: Upgrade ADA Units	5	15,000			
	HA-WIDE			HA-WIDE		
A: CNA / PNA	1	25,000	A: CNA / PNA	1	25,000	
B: Administration	1	130,000	B: Administration		120,000	
Sub Total of Estimated Cost			767,000	Sub Total of Estimated Cost		943,000

Capital Fund Program Five-Year Action Plan

Part 1: Summary

Activities for Year 1	Activities for Year 4 FFY Grant: CA 30 PO 43501-17 PHA FY: 2017			Activities for Year 5 FFY Grant: CA 30 PO 43501-18 PHA FY: 2018		
	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	CA 043-14 A: Landscaping B: Exterior Painting C: Dishwashers / Garbage Disposals	1 20 20	25,000 26,000 15,000	CA 043-14 A: Install Speed Bumps B: Resurface Roads (slurry Seal) C: New Roofs	30 1 20	9,500 15,000 115,000
	CA 043-15 A: Dishwashers / Garbage Disposals B: Tile Floor Replacement	50 50	75,000 250,000	CA 043-15 A: Re-Surface Roads B: Speed Bumps C: New Roofs D: Exterior Painting	1 1 1 50	35,000 12,000 85,000 85,000
	HA-WIDE A: CNA / PNA B: Administration	1 1	35,000 140,000	HA-WIDE A: CNA / PNA B: Administration	1 1	45,000 120,000
	Sub Total of Estimated Cost		566,000	Sub Total of Estimated Cost		521,500

Capital Fund Program Five-Year Action Plan

Part 1: Summary

Activities for Year 1	Activities for Year 4 FFY Grant: CA 30 PO 43501-12 PHA FY: 2012			Activities for Year 5 FFY Grant: CA 30 PO 43501-13 PHA FY: 2013		
	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	CA 043-3					
	A:Community Center					
	B: Landscaping / Site Work					
	Sub Total of Estimated Cost		310,099	Sub Total of Estimated Cost		0

EXHIBIT I

Capital Fund 501-13 Consolidated
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHA) Part 1: Summary

PHA Name Housing Authority of the County of Butte		Grant Type and Number: Capital Fund Program Grant No: CA 30 PO43501-13 Replacement Housing Factor Grant No:		Federal FY of Grant: 2013
<input type="checkbox"/> Original Annual Statement <input checked="" type="checkbox"/> Performance and Evaluation Report for Program Year Ending 2014		<input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement/Revision Number ____ <input type="checkbox"/> Final Performance and Evaluation Report		

Line No.	Summary by Development Account	Total Estimate Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non-CGP Funds				
2	1406 Operations	106,951.00			
3	1408 Management Improvements - Soft Cost	0.00			
4	1410 Administration	53,475.00		3,263.51	3,263.51
5	1411 Audit	2,000.00			
6	1415 Liquidated Damages				
7	1430 Fees and Costs	45,000.00			
8	1440 Site Acquisition				
9	1450 Site Improvement	149,664.00			
10	1460 Dwelling Structures	127,667.00			
11	1465.1 Dwelling Equipment - Non expendable	50,000.00		31,300.00	31,300.00
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1502 Contingency				
20	Amount of Annual Grant (Sum of lines 2-18)	534,757.00	0.00	34,563.51	34,563.51
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 19 Related to Section 504 Compliance				
23	Amount of line 20 Related to Security - Soft Cost				
24	Amount of line 20 Related to Security - Hard Cost				
25	Amount of line 20 Related to Energy Conservation Measures				
26	Collateralization Expenses or Debt Service				
Signature of Executive Director & Date:		Signature of Public Housing Director & Date:			

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHA) **Part 11: Supporting Pages**

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHA)

Part 11: Supporting Pages

PHA Name		Grant Type and Number:				Federal FY of Grant:	
Housing Authority of the County of Butte		Capital Fund Program Grant No: CA 30 PO43501-13				2013	
Development Number/Name Ha-Wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised	Funds Obligated	Funds Expended
CA43-1A, 1B & 4	A: VCT Tile Replacement	1460	2	4,001.00			
	B: Site work / Landscaping	1450	1	3,000.00			
	C: ADA upgrades	1460	1	3,000.00			
	D: HVAC replacements	1465	3	15,600.00	5,300.00	5,300.00	
CA43-3	A: VCT Tile Replacement	1460	4	11,166.00			
	B: Site work / Landscaping	1450	1	1,664.00			
	C: ADA upgrades	1460	3	15,000.00			
	D: ADA path of Travel	1450	1	110,000.00			
	E: HVAC Replacement	1465	7	34,400.00	26,200.00	26,200.00	
CA43-2A & 2B	A: Site work / Landscaping	1450	3	3,000.00			
	B: VCT Tile Replacement	1460	1	2,000.00			
	C: ADA upgrades	1460	1	5,000.00			
CA43-10	A: Site work / Landscaping	1450	1	3,000.00			
	C: VCT Tile Replacement	1460	2	2,500.00			
	C: ADA upgrades	1460	1	5,000.00			
	D, Window fix	1460	40	45,000.00			
CA43-13	A: VCT Tile Replacement	1460	3	3,000.00			
	B: Site work / Landscaping	1450	1	3,000.00			
	C: ADA upgrades	1460	2	15,000.00			
	D: ADA path of Travel	1450	1	20,000.00			
			Total	304,331.00	0.00	31,500.00	31,500.00

Capital Fund 501-14 Consolidated**Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHA) Part 1: Summary**

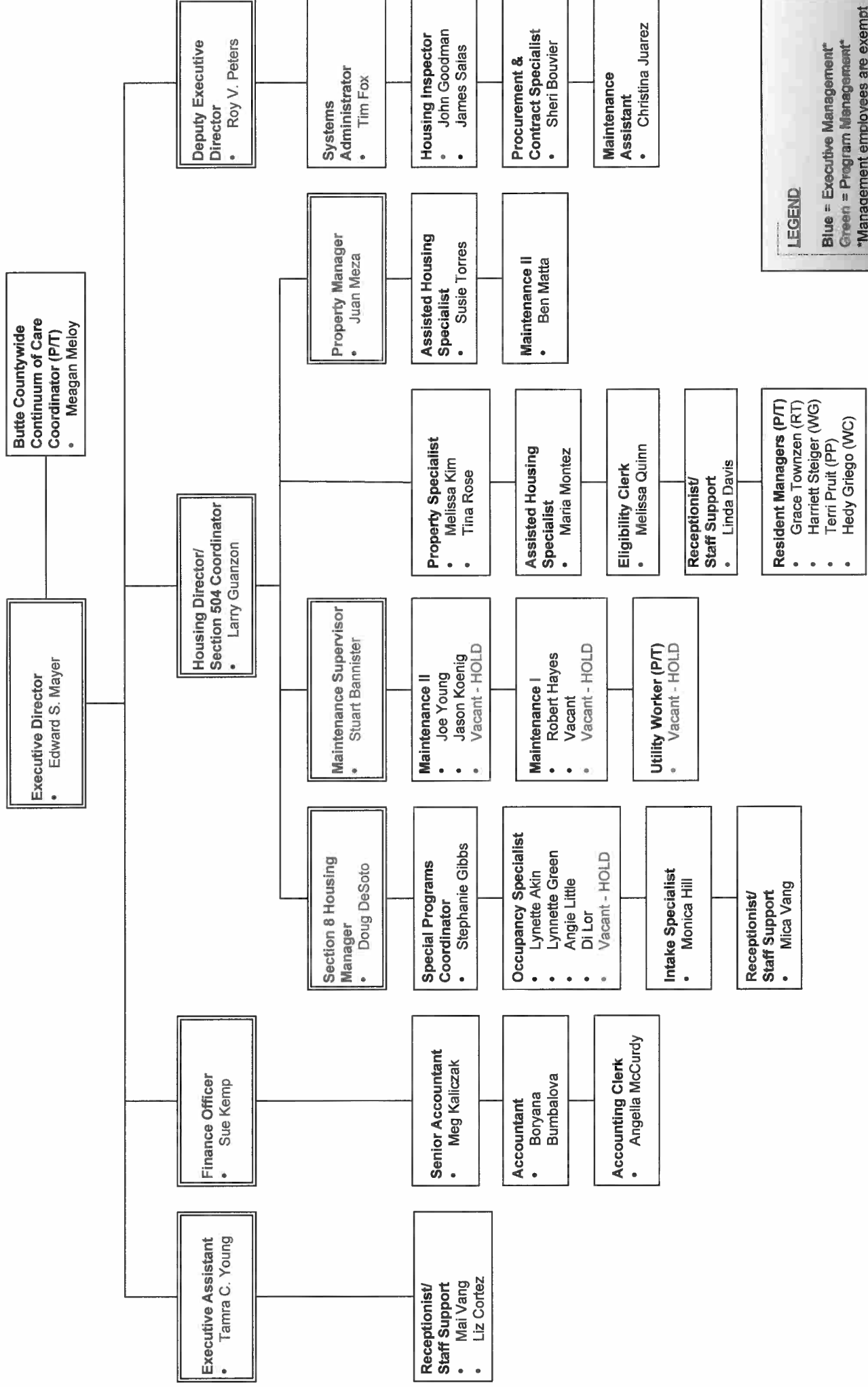
PHA Name Housing Authority of the County of Butte		Grant Type and Number: Capital Fund Program Grant No: CA 30 PO43501-14 Replacement Housing Factor Grant No:		Federal FY of Grant: 2014	
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Performance and Evaluation Report for Program Year Ending 201		<input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement/Revision Number _____ <input type="checkbox"/> Final Performance and Evaluation Report			
Line No.	Summary by Development Account	Total Estimate Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non-CGP Funds				
2	1406 Operations	20,000.00			
3	1408 Management Improvements – Soft Cost				
4	1410 Administration	55,673.00			
5	1411 Audit	2,000.00			
6	1415 Liquidated Damages				
7	1430 Fees and Costs	45,000.00			
8	1440 Site Acquisition				
9	1450 Site Improvement	58,500.00			
10	1460 Dwelling Structures	348,761.00			
11	1465.1 Dwelling Equipment – Non expendable	26,800.00			
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1502 Contingency				
20	Amount of Annual Grant (Sum of lines 2-18)	556,734.00	0.00	0.00	0.00
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 19 Related to Section 504 Compliance				
23	Amount of line 20 Related to Security – Soft Cost				
24	Amount of line 20 Related to Security – Hard Cost				
25	Amount of line 20 Related to Energy Conservation Measures				
26	Collateralization Expenses or Debt Service				
Signature of Executive Director & Date:		Signature of Public Housing Director & Date			

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHA)

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHA)

EXHIBIT J

HCB HOUSING AUTHORITY of the County of Butte



LEGEND

Blue = Executive Management
Green = Program Management
*Management employees are exempt
Double-line Box = Supervisor

EXHIBIT K

Housing Authority of the County of Butte

2014 Administrative Plan for the Section 8 Housing Choice Voucher Program

Effective 10/01/2014

Submitted under separate cover

EXHIBIT L

Housing Authority of the County of Butte

2014 Admissions and Continued Occupancy Policy for Public Housing Program

Effective 10/01/2014

Submitted under separate cover